

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

THIS PAGE BLANK (USPTO)

RIDOUT & MAYBEE



Patent & Trade Mark Agents

TECH CENTER 2700

JAN 31 2000

RECEIVED

2747

K. Ward
3/7/00
Printy
Paper

P. Ewan McArdle
B.Sc. (Mech. Eng.)
W. Charles Kent
B.Sc. (Eng. Chem.), LL.B., P.Eng.

William R. Edgar
B.Sc. (Chem. Eng.), LL.B.

Richard A.R. Parsons
B.A. (Hons.) Oxon.

Philip K. Holland
M.A. Cantab.

James R. Lake
Ph.D., (Pharm. Chem.), LL.B.

Randall S. Mitchell
Ph.D. (Polymer. Chem.), LL.B.

P. Scott Maclean
B.Sc., LL.B.

Lynn S. Cassan
B.Sc. Eng. (E.E.), LL.B.

Janet M. Fuhrer
LL.B.

Marcus T. Gallie
B.Sc., M.Sc., LL.B.

William B. Vass
B.A.Sc. (Elec. Eng.), LL.B., P.Eng.

Robert G. Hiron
B.Sc. (Hons. Chem.)

Kim A. Brulé
B.A.

Mary L. Malvaso

Lynda E.E. Doxsee

Roland H. Joachim
B.Sc. (Chem.), LL.B.

James E. Longwell
B.Math, LL.B.

Mitchell B. Charness
B.A. Sc. (Mech. Eng.), LL.B.

Ian C. McMillan
B.Sc.E. (Mech./Math.), LL.B.

David J. Heller
B.Sc. (Biol./Chem.), LL.B.

Consultants

William L. Hayhurst

Godfrey P. Orleans

Kenneth M. Garrett

Jane Parsons

January 27, 2000

Our Ref: 33632-0312

The Commissioner of Patents & Trademarks
Washington, D.C. 20231

For the Attention of: BOX NON-FEE

Dear Sir:

Re: United States Patent Application No. 09/419,923
Filed: October 18th, 1999
Applicant: Quinton Lyon
Title: ACOUSTIC PULSE ECHO RANGING SYSTEM
Group Art Unit 2747

In order to complete the applicant's claim to priority, we are submitting herewith a certified copy of British Patent Application No. 9823059.2.

Respectfully submitted,

QUINTON LYON

R. Joachim
Richard A. Parsons
Reg. No. 28,159

RAP/maf

Roland H. Joachim
Reg. No. 40,353

One Queen St. East
Suite 2400, Toronto
Canada, M5C 3B1

Telephone 416.868.1482
Facsimile 416.362.0823
Email ridbee@ridoutmaybee.com

Also at 150 Metcalfe St.
19th Floor, Ottawa
Canada, K2P 1P1

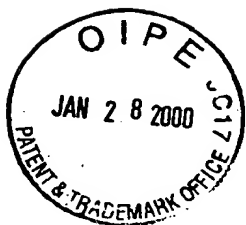
THIS PAGE BLANK (USPTO)



The
Patent
Office



INVESTOR IN PEOPLE



The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

RECEIVED
JAN 31 2000
TECH CENTER 2700

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

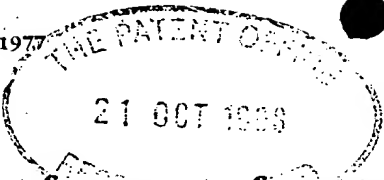
Signed

G D Court

Dated

23 JUL 1999

THIS PAGE BLANK (USPTO)



Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road
Newport
Gwent NP9 1RH

1. Your reference

MILTR/1299A

2. Patent application number

(The Patent Office will fill in this part)

21 OCT 1998

9823059.2

3. Full name, address and postcode of the or of each applicant (underline all surnames)

MILLTRONICS LTD
1954 Technology Drive
P.O. Box 4225
Peterborough
Ontario
Canada K9J 7B1

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

Canada

5983556002

4. Title of the invention

Acoustic Pulse Echo Ranging System

5. Name of your agent (if you have one)

J.Y. & G.W. JOHNSON

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

Kingsbourne House
229-231 High Holborn
London
WC1V 7DP

Patents ADP number (if you know it)

976001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number
(if you know it)

Date of filing
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

- a) any applicant named in part 3 is not an inventor, or
 - b) there is an inventor who is not named as an applicant, or
 - c) any named applicant is a corporate body.
- See note (d))

Yes

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description	7
Claim(s)	1
Abstract	
Drawing(s)	2

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (*Patents Form 7/77*) 1

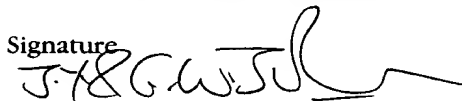
Request for preliminary examination and search (*Patents Form 9/77*) 1

Request for substantive examination (*Patents Form 10/77*)

Any other documents
(please specify)

11. I/We request the grant of a patent on the basis of this application.

Signature



Date

21.10.98

12. Name and daytime telephone number of person to contact in the United Kingdom

Martin J Newby

0171 405 0356

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

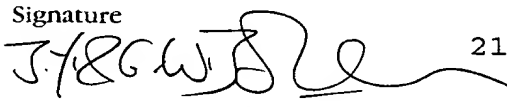
Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.*
- Write your answers in capital letters using black ink or you may type them.*
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.*
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.*
- Once you have filled in the form you must remember to sign and date it.*
- For details of the fee and ways to pay please contact the Patent Office.*

Statement of inventorship and of
right to grant of a patent

The Patent Office

Cardiff Road
Newport
Gwent NP9 1RH

1. Your reference	MILTR/1299A	
2. Patent application number <i>(if you know it)</i>	21 OCT 1998	9823059.2
3. Full name of the or of each applicant	MILLTRONICS LTD	
4. Title of the invention	Acoustic Pulse Echo Ranging System	
5. State how the applicant(s) derived the right from the inventor(s) to be granted a patent	By virtue of employment	
6. How many, if any, additional Patents Forms 7/77 are attached to this form? <i>(see note (c))</i>		
7.	<p>I/We believe that the person(s) named over the page <i>(and on any extra copies of this form)</i> is/are the inventor(s) of the invention which the above patent application relates to.</p> <p>Signature  Date 21.10.98</p>	
8. Name and daytime telephone number of person to contact in the United Kingdom	Martin J. Newby	0171 405 0356

Notes

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there are more than three inventors, please write the names and addresses of the other inventors on the back of another Patents Form 7/77 and attach it to this form.
- d) When an application does not declare any priority, or declares priority from an earlier UK application, you must provide enough copies of this form so that the Patent Office can send one to each inventor who is not an applicant.
- e) Once you have filled in the form you must remember to sign and date it.

Enter the full names, addresses and postcodes of the inventors in the boxes and underline the surnames

Quinton Lyon
2294 Woodglade Boulevard
Peterborough
Ontario
Canada K9K 1T9

7536725001

Patents ADP number (if you know it):

Patents ADP number (if you know it):

Reminder

Have you signed the form?

Patents ADP number (if you know it):

ACOUSTIC PULSE ECHO RANGING SYSTEM

This invention relates to pulse echo ranging systems, and more particularly although not exclusively to systems for monitoring the level of sludge in a body of liquid.

- 5 In a number of applications, it is necessary to monitor liquids containing significant quantities of suspended materials, which materials may tend to separate or accumulate as a separate phase beneath a body of clearer liquid. A parameter which it is frequently desired to monitor is the
10 level of the interface between settled material or sludge, and a supernatant liquid.

- Suspended material in liquids tends to reflect or disperse acoustic energy, although the extent of this effect is frequency dependent. The effectiveness of a pulse echo
15 ranging system in determining the position of an interface between a sludge layer and a supernatant liquid will depend upon the presence of suspended material, including gas bubbles, in the supernatant layer, and the concentration and nature of particles in the sludge layer. It is difficult to
20 select a transducer frequency which will provide reliable detection of the interface under all circumstances.

- Since transducers used in such systems will normally operate submerged, there is the possibility of build-up of material passing out of suspension and liquid and building up on the
25 transducer assembly. Such build-up can be inhibited by ultrasonic insonification of vulnerable surfaces, particularly the radiating surface of the transducer assembly, but in practice, the most effective frequencies for operation of the transducer, if it is to be self-cleaning,
30 often fall in a different range from those providing best detection of the sludge/liquid interface.

According to the invention, a method of operating a pulse echo ranging system comprises operating a transducer

assembly to provide transmission and reception of pulses of high frequency energy at plural substantially different frequencies, and signals received by the transducer assembly are to generate an echo profile for signals received at at least a first of the frequencies, and utilizing the signal at another of the frequencies to enhance the recovery of data beyond that obtained from the first signal alone.

This enhancement may be achieved in various ways. Of two frequencies, one may be used to generate an echo profile and the other to clean the radiating surface of the transducer to maintain efficiency. If echo profiles are recovered for two or more frequencies, the additional profiles may be utilized to enhance the reliability of recovery wanted data. For example, if supernatant liquid contains large quantities of suspended material, acoustic energy at a frequency best suited to detecting a sludge interface may be so attenuated before reaching the interface that detection is not practicable. In this case, the lower frequency signal may provide additional penetration in order to detect the sludge interface and thus improve reliability.

Furthermore, if two or more echo profiles are recovered, the profile recovered from a signal which is not heavily reflected by a sludge interface or other interface which it is desired to detect may be utilized as a reference signal against which echoes occurring in the echo profile from a signal at a different frequency may be assessed. Alternatively, the profiles from different frequencies may be summed, or differenced on the basis that the response from an interface to be detected to signals at substantially different frequencies will be substantially different.

If the echo responses at different frequencies are available, it may be possible to deduce characteristics such as quantities of suspended solids, layer density, presence of bubbles, and so on from the different responses to the different frequencies.

These various features may of course be used in combination, according to the manner in which a microcontroller controlling the system is programmed.

Further features of the invention will become apparent from the following description with reference to the accompanying drawings, in which:

Figure 1 is a simplified schematic diagram of a pulse echo ranging system;

Figure 2 is a section through a settling tank illustrating an exemplary operational environment for the mechanism;

Figure 3 is a graph illustrating an exemplary echo profile produced by a signal component at one of the frequencies utilized;

Figure 4 compares echo responses at two different frequencies in a primary clarifier;

Figure 5 compares echo response at the two different frequencies in a secondary clarifier;

Figure 6 is an annotated graph illustrating how echo data may be interpreted to provide additional data.

Referring to Figure 1, there is shown a simplified schematic diagram of a pulse echo ranging system controlled by a central processing unit (CPU) 2. Typically the CPU 2 will be incorporated in a microcontroller implementing peripheral functions used to implement some of the other blocks shown in Figure 1 as well as additional functions not described. The CPU operates under a control program stored in read-only memory (ROM) 4, utilizing parameters stored in non-volatile random access memory (NOVRAM) 6, and provided with working memory in the form of random access memory (RAM) 8. An

interface 10 provides for the export of data from the unit, and the import of operating parameters. Data may be exported in the form of a display, telemetry signals or alarm signals. The CPU 2 also controls a transmitter 12 which controls the timing, frequency and amplitude of high frequency pulses applied to a transducer 14. A receiver 16 receives return echo signals from the same or a different transducer, amplifies them, usually logarithmically, and applies them to an analog to digital converter 18, from where the digital echo profile is stored in RAM 8 for further processing

The operation of such systems is described in more detail in our U.S. Patents Nos. 4,596,144; 4,831,565; 4,890,266; 4,999,998 and 5,076,751, and only features of difference will be discussed further below.

Referring to Figure 2, this shows an exemplary application of the invention, used for monitoring a sludge interface 20 in a tank 22, of the invention, in which the transducer 14 is mounted with at least its radiating face 24 submerged in the liquid content of the tank. Above the interface 20, the liquid content is liquid containing suspended material and possibly gas bubbles, while a denser sludge phase 28 settles out beneath the interface and above the bottom wall 30 of the tank.

Figure 3 illustrates an exemplary echo response to a high frequency acoustic pulse emitted by the transducer 14. In this instance there is a strong echo 32 from the bottom of the tank, while the weaker true echo 34 from the interface 20 shows little increase in amplitude relative to the immediately preceding portion of the profile which consists not only of a component due to ranging of the transducer, but also energy reflected by the suspended material in the liquid above the interface. This reflected energy tends to mask the wanted echo.

These phenomena are illustrated further in Figures 4 and 5, which show how the responses to different pulse frequencies can change in different environments. Graphs a and b in each Figure represent logarithmic plots of the amplitude of the echo profiles produced at 44 kHz and 150 kHz respectively in a tank acting as a primary clarifier and a tank acting as a secondary clarifier. In Figure 4, the lower frequency (trace b) propagates well through liquid containing substantial amounts of suspended material, and clear echoes 32 and 34 can be seen both from the bottom of the tank and the sludge interface. At the higher frequency (trace a), absorption and scattering result in the echo 34 being much less marked, and the echo 32 being wholly absent. By contrast, behaviour in the secondary clarifier is quite different. In this case the suspended material is light and "fluffy". It is penetrated well by the higher frequency (trace a), providing clear echoes 32 and 34 from the bottom of the tank and the sludge interface, whereas the lower frequency is severely attenuated and produces no recognizable echoes.

In a presently preferred embodiment, both frequencies are generated simultaneously by a common acoustic transducer. Piezoelectric transducers used in acoustic ranging systems are commonly capable of resonating in different modes having substantially different resonant frequencies, and the transmitter 12 is configured to generate energy at two such widely spaced frequencies such as to cause the transducer to radiate at both frequencies during a pulse. For best results, the frequencies should have at least 2:1 ratio. Exemplary frequencies are 44 kHz and 150 kHz, or 50 kHz and 120 kHz. It is possible to use more than two frequencies, or to use a transducer assembly containing multiple piezoelectric elements having different frequencies, or to energize the transducer at different frequencies sequentially. It is also possible to utilize a transducer assembly comprising two separate transducers, for example two acoustic transducers operating at different frequencies. In some applications, it may be possible to combine an

acoustic microwave transducer so as to exploit the different penetration and dispersion characteristics of the different types of radiation involved, for example, in applications where it is desired to detect both a foam interface and a liquid interface in a tank with the transducer assembly above both levels.

Regardless of the transmitter and transducer system used, there are various ways of using the invention to provide enhanced performance. At a lowest level, a higher frequency is used to generate an echo response for analysis, and acoustic energy at a lower frequency is used to inhibit deposition of solids on a radiating surface of the transducer assembly thus improving reliability. Preferably however, echo responses are obtained at each frequency and combined in some manner to provide enhanced data.

For example, the echo responses may simply be summed, providing a combined response in which echoes of energy occurring at any of the frequencies will normally be apparent. Even though one frequency fails to provide a substantial echo response from a feature of interest, the second frequency may result in a satisfactory response. An alternative or additional approach is to difference the responses at two frequencies. The resulting combined response will then represent the differences between the reflective behaviour of targets at the two frequencies and may enable distinct echo responses to be detected where the summary approach fails. A frequency which does not provide good echo responses may nonetheless be used as a reference against which to detect peaks or discontinuities in response at another frequency.

Comparing responses at different frequencies may permit further characteristics of liquid in a vessel to be determined, since the response to different types of suspended material at different frequencies is different. Referring to Figure 6, a portion of the echo profile

consists of reverberation from suspended particles in the supernatant liquid, a portion 38 preceding the peak 34 from the sludge interface represents enhanced reflection from "fluff" of particle gathering above the interface, while the sharply depressed portion 40 of the response between the peak 34 and the peak 32 representing the reflection from the bottom of the tank represents high absorptive properties of the sludge beneath the interface. It will also be noted that the actual peak 34 due to reflection at the interface is quite small, but the peak is emphasized by the sharply contrasting reflective properties of the layers on either side of the peak. At a higher frequency, the reverberation from the suspended particles will typically be greater, and while the reflection from the interface may produce a more marked peak, this may be masked to a greater extent by reverberation. On the other hand, the reflection and dispersion by the fluff may be substantially less. These differing responses may permit substantial interpretation of systems whose characteristics have been the subject of empirical observation.

CLAIMS

1. A method of operating a pulse echo ranging system comprising a transducer assembly to provide transmission and reception of pulses of high frequency energy at plural substantially different frequencies, using signals received by the transducer assembly to generate an echo profile for signals received at at least a first of the frequencies, and utilizing the signal at another of the frequencies to enhance the recovery of data beyond that obtained from the first signal alone.
2. A method according to claim 1, wherein the high frequency energy is acoustic energy and one frequency is used to generate an echo profile, and a second frequency to insonify a radiating surface of the transducer assembly to render it self cleaning.
3. A method according to claim 1 or 2, wherein the received signals are used to generate an echo profile for signals received at two frequencies, and the profile combines data from the at least two signals.
4. A method according to claim 3, wherein the received signals are summed.
5. A method according to claim 3, wherein the received signals are differenced.
6. A method according to claim 3, wherein a signal received at a second frequency is used as a reference against which the first signal can be compared to detect echoes in the latter.
7. A method substantially as hereinbefore described with reference to the accompanying drawings.
8. A pulse-echo ranging system programmed to perform the method of the invention.

1/2

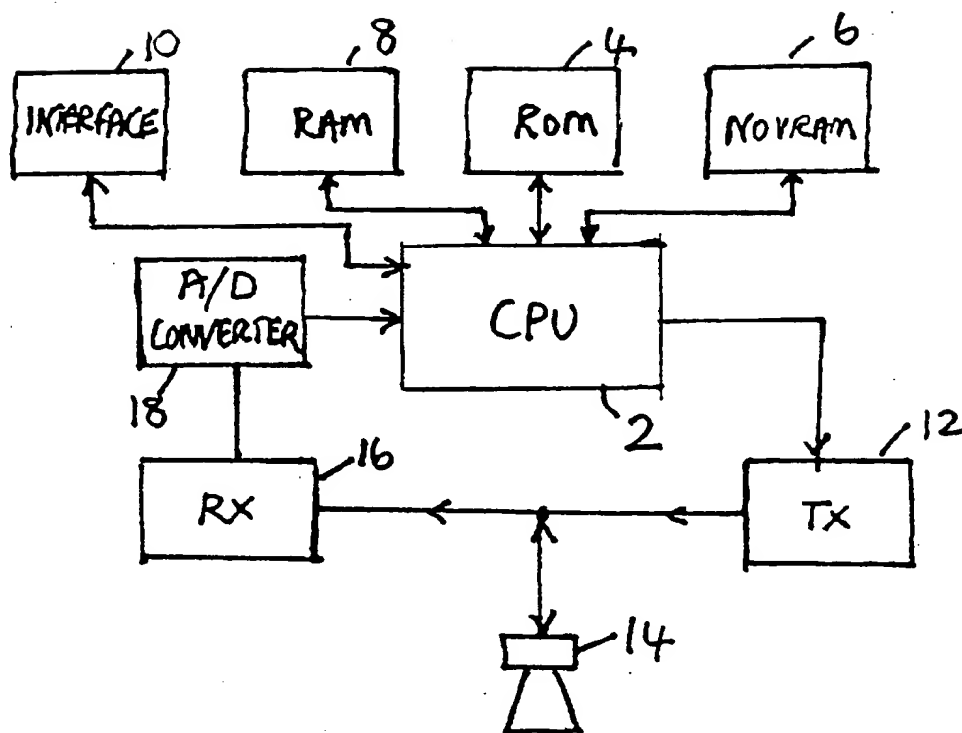


FIG 1

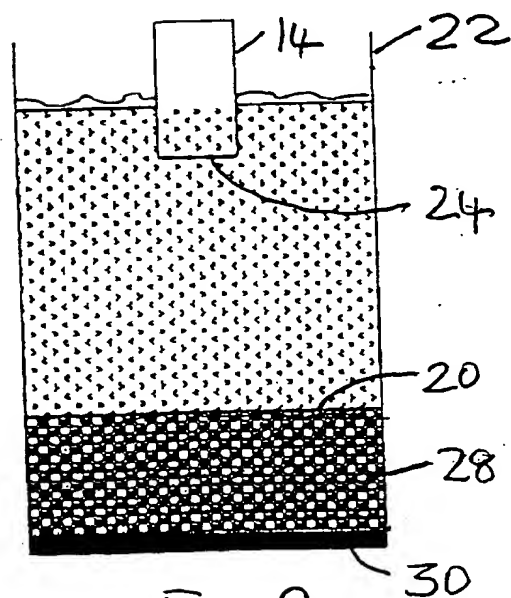


FIG 2

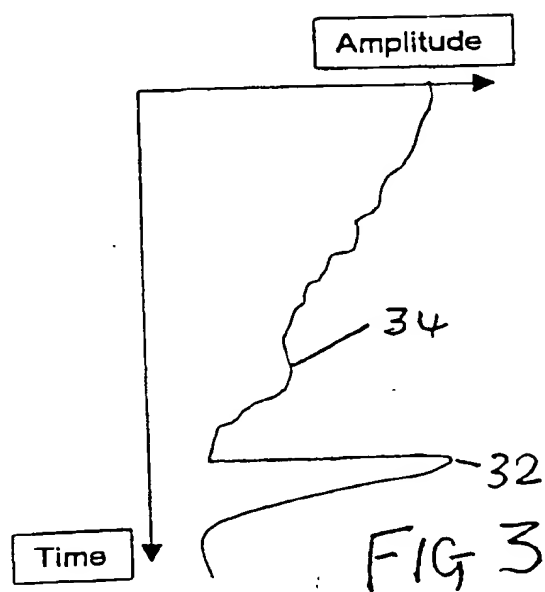
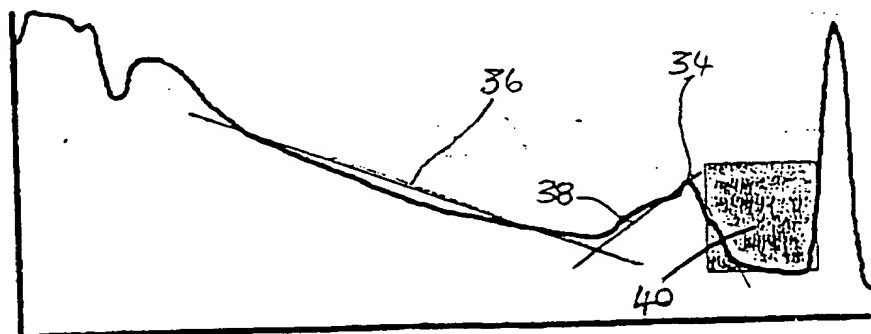
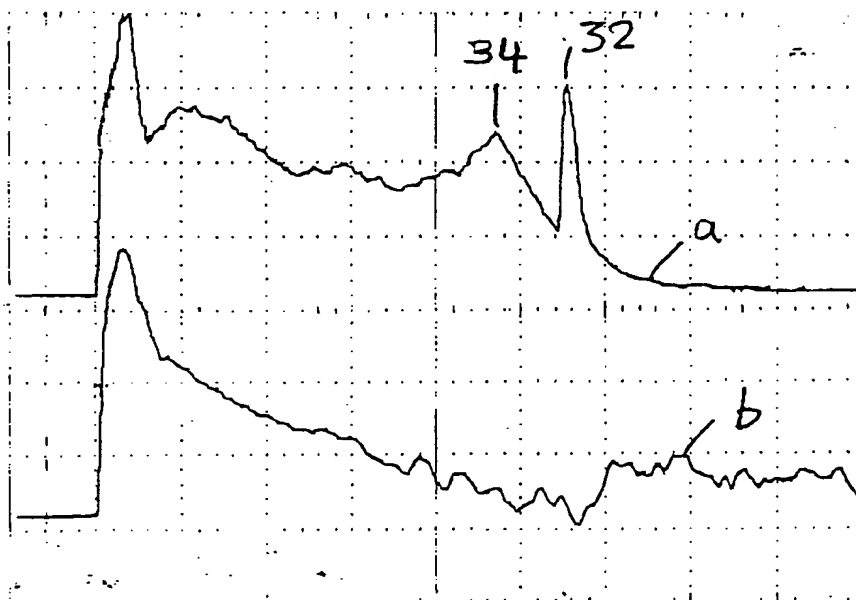
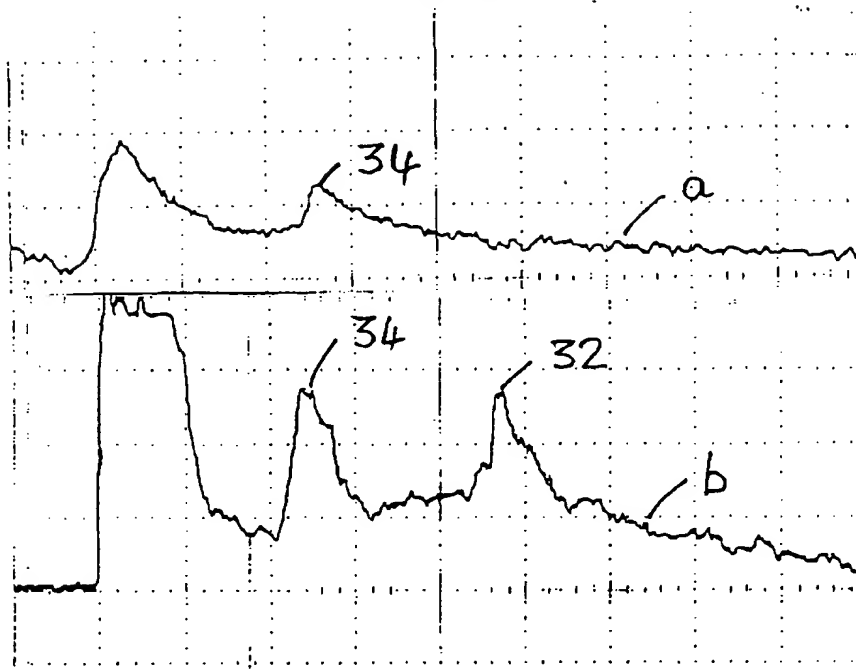


FIG 3

THIS PAGE BLANK (USPTO)

2/2



THIS PAGE BLANK (USPTO)